

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/995,690

WASHINGTON, DC 20005-3934

STERNE, KESSLER, GOLDSTEIN & FOX PLLC

1100 NEW YORK AVENUE, N.W., SUITE 600

26111.

11/29/2001

James Y. Chang

1875.1210003

CONFIRMATION NO. 9803

FORMALITIES LETTER

OC000000007224275

Date Mailed: 12/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/26/2002 JBALINAN 00000168 09995690

130.00 OP

01 FC:105



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*Admitted only in Maryland * Admitted only in Virginia * Admitted only in Texas

February 21, 2002

WRITER'S DIRECT NUMBER: (202) 371-2675 INTERNET ADDRESS: JHELVEY@SKGF.COM

Box Missing Parts

Commissioner for Patents Washington, D.C. 20231

Re:

U.S. Utility Patent Application

Appl. No.09/995,690; Filed: November 29, 2001

For: Apparatus for Reducing Flicker Noise in a Mixer Circuit

Inventor:

James Y.C. Chang

Our Ref:

1875.1210003/RES/JTH

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated December 21, 2001, Applicant submits the following documents for appropriate action by the U.S. Patent and Trademark Office:

- 1. PTO Fee Transmittal Form PTO/SB/17;
- 2. Copy of the Notice to File Missing Parts;
- 3. Original Declaration, executed by the inventor;
- 4. Return postcard; and
- 5. Our Check No. 34225 for \$ 130.00 to cover Surcharge for late filing of Declaration (37 C.F.R. § 1.16).

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

Sterne, Kessler, Goldstein & Fox PLLC : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 f 202.371.2540 : www.skgf.com

Commissioner for Patents February 21, 2002 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Jeffrey T. Helvey

Attorney for Applicant

Registration No. 44,757

JTH/slw Enclosures

P:\USERS\SWILLIAM\TH Folder (New)\1875.1210003\reply to ntfmp-ptoltr Rev. 2/15/02 dcw

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

for FY for FY 2002 Satent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 130.00

Complete if Known						
Application Number	09/995,690					
Filing Date	November 29, 2001					
First Named Inventor	James Y. C. Chang					
Examiner Name	To be Assigned					
Group Art Unit	To be Assigned					
Attorney Docket No.	1875.1210003					

METHO	D OF PATMIENT (check one)				1.1313	CALCULATION (continued)		
The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:		3. ADDIT Large			Entity				
Deposit Account Number	9-0036	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee De	escription	Fee paid	
Deposit Account Name	erne, Kessler, Goldstein & Fox P.L.L.C.	105	130	205	65	Surcharge - late filing for	ee or oath	130.00	
☑ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		127	50	227	25	Surcharge - late provisional	filing fee or cover sheet	·	
		139	130	139	130	Non-English specific	cation		
Applicant claims small entity status See 37 CFR 1.27		147	2,520	147	2,520	For filing a request for	ation		
		112	920*	112	920*	Requesting publication o	er		
2. ⊠ Payment Enclosed:		113	1,840*	113	1,840*	Requesting publication of	action		
☐ Check ☐ Credit card ☐ Money Order ☐ Other* *Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.		115	110	215	55	Extension for reply wi			
I	FEE CALCULATION	116	400	216	200	Extension for reply wi	thin second month		
1. BASIC FILING FE	E	117	920	217	460	Extension for reply wi	thin third month		
Large Entity Small Fee Fee Fee	Entity Fee Fee Description Fee Paid	118	1,440	218	720	Extension for reply wi	thin fourth month		
		128	1,960	228	980	Extension for reply wi	thin fifth month		
101 740 201	370 Utility filing fee	119	320	219	160	Notice of Appeal			
106 330 206	165 Design filing fee	120	320	220	160	Filing a brief in support of an appeal			
107 510 207	255 Plant filing fee	121	280	221	140	Request for oral hearing			
108 740 208	370 Reissue filing fee	138	1,510	138	1,510	Petition to institute a	oublic use proceedi	ng	
114 160 214	80 Provisional filing fee	140	110	240	55	Petition to revive - un			
		141	1,280	241	640	Petition to revive - un			
SUBTOTAL (1) (\$) 0		142	1,280	242	640	Jtility issue fee (or reissue)			
		143	460	243	230	Design issue fee			
		144	620	244	310	Plant issue fee			
		122	130	122	130	Petitions to the Comn	nissioner		
2. EXTRA CLAIM FE	ES Fee from Extra below Fee Paid	123	130	123		Petitions related to pr	• •		
Total Claims 10 - 2	20** = <u>0</u> X <u>18.00</u> = <u>0</u>	126	180	126	180	Submission of Inform		mt	
Indep. Claims <u>2</u> - 3** = <u>0</u> X <u>84.00</u> = <u>0</u>		581	40	481	40	Recording each pater property (times numb			
Multiple Dependent	=	146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))			
	Fee Fee Description	149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))			
	9 Claims in excess of 20	179	740	279	370	Request for Continued Examination (RCE)			
102 84 202 4 104 280 204 14	142 Independent claims in excess of 3 140 Multiple dependent claim	169	900	169	900	Request for expedited examination of a design application			
	42 **Reissue independent claims over original	Other fee (s	specify):						
110 18 210	patent 9 **Reissue claims in excess of 20 and over original patent	Other fee (s	specify):						
SUB	*Reduced b	y Basic Fi	ling Fee	Paid					
** or number previously paid, if greater, For Reissues, see above SUBTOTAL (3) (\$) 130.00									
SUBMITTED BY Complete (if applicable)									
Name (Print/Type) Jeffrey T. Helvey			Ret (Atta	gistration mey/Agent/	No.	44,757	Telephone	202-371-2600	
Signature	JA Ha	ly/	-		114	Jinformation should	Date	2/21/02	